

EL 881435982 US

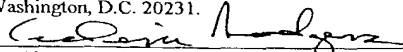
March 7, 2002

Express Mail Mailing Number

Date of Deposit

I hereby certify that this paper or fee, and any documents referred to as enclosed herein, are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents, Box PCT, Washington, D.C. 20231.

Cedric Rodgers



Name of Person Mailing Application

Signature of Person Mailing Application

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTORNEY'S DOCKET NUMBER

TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A FILING UNDER 35 U.S.C. 371

GH-007

U.S. APPLICATION NO. (if known, see 37 CFR 1.5)

Not known by

10/070464

INTERNATIONAL APPLICATION NO.  
PCT/AU00/01085

INTERNATIONAL FILING DATE  
11 SEPTEMBER 2000

PRIORITY DATE CLAIMED  
10 SEPTEMBER 1999

TITLE OF INVENTION

**DIPEPTIDYL PEPTIDASES**

APPLICANT(S) FOR DO/EO/US

Catherine Anne ABBOTT and Mark Douglas GORRELL

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☒ This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).
4. ☒ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
  - a. ☐ is transmitted herewith (required only if not transmitted by the International Bureau).
  - b. ☒ has been transmitted by the International Bureau.
  - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US)
6. ☐ A translation of the International Application into English (35 U.S.C. 371(c)(2)).
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
  - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
  - b. ☐ have been transmitted by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☒ have not been made and will not be made.
8. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An oath or declaration of the inventor(s) 35 U.S.C. 371(c)(4)) and **Power of Attorney** (Unexecuted).
10. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5))

**Items 11 to 16 below concern other document(s) or information included:**

11. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☐ A **FIRST** preliminary amendment.
- ☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.

FILE NO (if known, see 37 CFR 1.5)

10/070464

INTERNATIONAL APPLICATION NO  
 PCT/AU00/01085

ATTORNEYS DOCKET NUMBER  
 GH-007

14. ☐ A substitute specification and claims amended to conform the translated application to US practice
15. ☐ A change of power of attorney and/or address letter
16. ☒ Other items or information:
- (a) copy of the First Page of International Publication,
  - (b) copy of International Search Report;
  - (c) copy of International Preliminary Examination Report
  - (d) computer-readable and hard copies of Sequence Listing
  - (e) Statement regarding Sequence Listing Disclosures

17. ☒ The following fees are submitted:

**BASIC NATIONAL FEE (37 CFR 1.492(A)(1)-(5)):**

Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO . . . . \$1,040.00

International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO . . . . \$860.00

International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search fee (37 CFR 1.445(a)(2)) paid to USPTO . . \$710.00

International preliminary examination fee paid to USPTO (37 CFR 1.482) but all claims did not satisfy provisions of PCT Article 33(1)-(4) . . . . \$690.00

International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(1)-(4) . . . . \$100.00

**ENTER APPROPRIATE BASIC FEE AMOUNT =**

**CALCULATIONS**

PTO USE ONLY

Surcharge of \$130.00 for furnishing the oath or declaration later than ☐ 20 ☐ 30 months from the earliest claimed priority date (37 CFR 1.492(e)).

Claims	Number Filed	Number Extra	Rate
Total Claims	27	7	\$18.00
Independent Claims	10	7	\$84.00
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			\$0.00

**TOTAL OF ABOVE CALCULATIONS =**

- ☐ Small Entity Status: Applicant claims small entity status. (See 37 CFR 1.27.)  
 Reduction of 1/2 for filing by small entity (if applicable).

**SUBTOTAL =**

Processing fee of \$130.00 for furnishing the English translation later than ☐ 20 ☐ 30 months from the earliest claimed priority date (37 CFR 1.492(f)).

**TOTAL NATIONAL FEE =**

Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31) \$40 per property

**TOTAL FEES ENCLOSED =**

Amount to be  
 refunded \$  
 charged \$

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
RECEIVING OFFICE (US/RO)

Applicant(s): Catherine Anne ABBOTT, et al. )  
Serial Number: [To be Assigned] )  
Filing Date: March 7, 2002 )  
For: DIPEPTIDYL PEPTIDASES )  
Atty. Ref.: GH-007 )

<p><b>Certification under 37 CFR 1.10</b></p> <p>I hereby certify that this correspondence, and any other documents and/or fees referred to as enclosed herein, are being deposited in an envelope with the U.S. Postal Service "Express Mail Post Office to Addressee" service on <u>March 7, 2002</u> and addressed to Assistant Commissioner for Patents, Box PCT, Washington, D.C. 20231.</p> <p>Cedric Rodger Typed or printed name of person mailing paper</p> <p><i>Cedric Rodger</i> (Signature of person mailing paper)</p> <p>EL 881435982 US "Express Mail" mailing label number</p>
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**STATEMENT REGARDING SEQUENCE LISTING DISCLOSURES**

Box PCT  
Commissioner for Patents  
Washington, D. C. 20231

Sir:

I hereby state that, to the best of my information and belief, the content of the paper and computer readable copies of the sequence listing submitted herewith is the same.

Respectfully submitted,

By Talivaldis Cepuritis  
Talivaldis Cepuritis

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36th Floor  
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